



Docket No. 688-381

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Lee et al.  
Serial No. : 10/821,644  
Filed : April 9, 2004  
For : EMBOSSING TOOL

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached Information Disclosure Statement w/ form PTO-1449, 36 References  
and Return Postcard along with any paper(s) referred to as being attached or enclosed and this Certificate of  
Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage  
as first-class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, P.O. Box 1450  
Alexandria, VA 22313-1450.

Respectfully submitted,

SOFER & HAROUN, L.L.P.

By: *Sandria Cirillo*

Sandria Cirillo

Date: 4/19/04

Mailing Address:

SOFER & HAROUN, L.L.P.  
317 Madison Avenue, Suite 910  
New York, New York 10017  
Tel:(212)697-2800  
Fax:(212)697-3004

PATENT



Docket No. 688-381

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Lee et al.

Serial No. : 10/821,644

Group Art Unit: TBA

Filed : April 9, 2004

Examiner: Not yet assigned

For : EMBOSSING TOOL

INFORMATION DISCLOSURE STATEMENT

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:

A:

B:

2. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.

3. ☐ Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in the prior ☐ Continuation, ☐ Divisional or ☐ Continuation-In-Part application under 37 C.F.R. §1.60, U.S. Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

4. ☒ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:

☒ 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.

☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in

,an international application.

☐ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits.

5. ☐ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.

6. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):

☐ A check in the amount of \$200.00 is enclosed in payment of the fee.

☐ Charge the fee to Deposit Account No. 19-2825 . Order No. \_\_\_\_\_. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

7. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:

- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
- b. the attached petition requesting consideration of this Information Disclosure Statement; and
- c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.

8. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:

- a. ☐ 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue;
- b. ☐ 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue.
- c. The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 10 below.

9. ☐ I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

☐ I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56<sup>o</sup> more than three months prior to the filing of this Information Disclosure Statement.

10. ☐ A check in the amount of \$130.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(i)(1).

☐ Charge the fee due under 37 C.F.R. §1.17(i)(1) to Deposit Account No. . Order No.

\_\_\_\_\_ A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 19-2825. Order No. 688-381.

Respectfully submitted,

SOFER & HAROUN, L.L.P.

Dated: \_\_\_\_\_

4/19/04

By: \_\_\_\_\_

Joseph Sofer

Reg. No. 34,438

Mailing Address:

SOFER & HAROUN, LLP.  
317 Madison Avenue, Suite 910  
New York, NY 10017  
Tel: (212) 697-2800  
Fax: (212) 697-3004



Sheet 1 of 1

FORM PTO-1449 U.S. DEPARTMENT OF  
COMMERCE  
(Rev.2-3) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.  
688-381

SERIAL NO.  
10/821,644

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

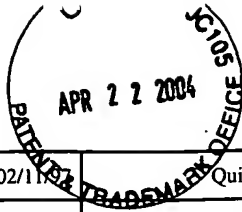
APPLICANT  
Lee et al.

FILING DATE  
April 8, 2004

GROUP  
TBA

U.S. PATENT DOCUMENTS

EXAMINE R INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	R E 3 8 2 1 9	08/19/03	Lee et al.	83	588	May 12, 2000
	D 3 7 3 3 8 5	09/03/96	Birkholz	D19	72	Oct. 4, 1994
	D 3 9 3 6 5 7	04/21/98	Bennett	D19	72	Nov. 26, 1996
	D 4 0 6 6 0 6	03/09/99	Lee	D19	72	Apr. 24, 1998
	D 4 0 7 7 5 2	04/06/99	Kim et al.	D19	72	May 26, 1998
	D 4 0 9 6 3 0	05/11/99	Cornell et al.	D15	127	Jan. 30, 1998
	D 4 3 3 0 6 2	10/31/00	Ho	D19	72	Sep. 30, 1999
	1 1 5 0 1 7 9	08/17/15	Dom			Jan. 2, 1915
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	1 6 9 2 7 6 4	11/20/28	Salt			Dec. 13, 1926
	2 0 1 7 1 9 5	10/15/35	Anderson et al.	164	91	Feb. 2, 1933
	2 4 4 9 1 0 8	09/14/48	Carlock	164	96	Jun. 2, 1946
	3 0 7 3 1 9 9	01/15/63	Yerkes	83	549	Apr. 16, 1962
	3 3 6 8 4 4 1	02/13/68	Piazzze	83	588	Jun. 19, 1962
	3 3 8 5 1 5 2	05/28/68	Brown et al.	83	633	Jun. 20, 1966
	3 4 5 9 0 8 4	08/05/69	Schlaflly	82	213	Dec. 9, 1964
	3 7 1 4 8 5 7	02/06/73	Stuertzt et al.	83	468	Sep. 9, 1970
	3 7 8 5 2 3 3	01/15/74	Robinson	83	167	May 9, 1972
	4 0 3 6 0 8 8	07/19/77	Ruskin	83	167	Aug. 30, 1976
	4 5 9 4 9 2 7	06/17/86	Mori	83	620	Jul. 23, 1985
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	4 7 1 3 9 9 5	12/22/87	Davi	83	167	Oct. 3, 1985
	4 7 5 3 0 1 0	06/28/88	Franovich	30	124	Mar. 17, 1986
	4 7 5 7 7 3 3	07/19/88	Barlow	83	588	May 5, 1987
	5 1 6 3 3 5 0	11/17/92	Groswith, III et al.	83	549	Apr. 15, 1991
	5 2 4 3 8 8 7	09/14/93	Bonge, Jr.	83	54	Apr. 17, 1990
	5 2 8 6 1 2 9	02/15/94	French et al.	403	24	Aug. 7, 1991



	5	6	0	1	0	0	6	02/11/97	Quinn et al.	83	588	Sep. 29, 1994
	5	6	5	8	4	2	1	08/19/97	Carroll	156	513	Apr. 6, 1995
	5	6	9	7	2	7	8	12/16/97	Shun-Yi	83	588	Sep. 28, 1995
	5	7	4	9	2	7	8	05/12/98	Lee et al.	83	588	Jun. 13, 1997
	5	7	7	8	7	5	0	07/14/98	Drzewiecki et al.	83	628	Feb. 7, 1996
	6	0	0	0	1	3	9	12/14/99	Chan	30	358	Mar. 6, 1998
	6	0	8	9	1	3	7	07/18/00	Lee	83	621	Jul. 26, 1999
	6	1	0	9	1	5	5	08/29/00	Huang	83	628	May 10, 1999
	6	5	1	3	4	1	3	02/04/03	Lee	83	633	Mar. 27, 2001

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.